

THE ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

ET 420 19775305 #
IBM DOCKET NO. AUS920010613US1

DATE: 11/29/01

Assignee Name: International Business Machines Corporation
Assignee Residence: Armonk, New York

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Van Hensbergen

For: **System and Method for Knowledgeable Node Initiated TCP Splicing**

Enclosed are:

- ☒ Patent Specification and Declaration.
- ☒ sheets of drawing(s). (Formal) 8 Sheets.
- ☒ An assignment of the invention to International Business Machines Corporation (includes Recordation Form Cover Sheet).
- ☐ A certified copy of a _____ application.
- ☒ Information Disclosure Statement, PTO 1449 and copies of references

The filing fee has been calculated as shown below:

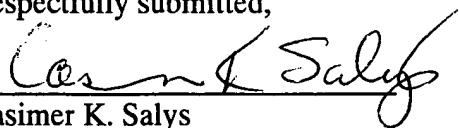
For	Number Filed	Number Extra	Rate	Fee
Basic Fee				740.00
Total Claims	20	0	x \$18	\$ 0.00
Indep. Claims	3	0	x \$84	\$ 0.00
Mult. Dep. Claims	0	0	x \$280	0

Total: \$ 740.00

- ☒ Please charge my Deposit Account No. 09-0447 in the amount of \$ 740.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
 - ☒ Any additional filing fees required under 37 CFR Sect. 1.16.
 - ☒ Any patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By


Casimer K. Salys
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	:	Group Art Unit:
Van Hensbergen	:	Intellectual Property Law Department
Serial No.:	:	International Business
Filed:	:	Machines Corporation
Title: System and Method for	:	11400 Burnet Road
Knowledgeable Node Initiated TCP	:	Austin, Texas 78758
Splicing	:	

INFORMATION DISCLOSURE STATEMENT

The Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

By Joseph T. Van Leeuwen
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In Place of FORM PTO-1449 (Modified)

Serial No. _____

Applicant: Van Hensbergen

Filing Date: _____

Group: _____

Atty. Docket No. AUS920010613US1

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCL
OSURE
STATEMENT**



U. S. PATENT DOCUMENTS

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	<u>Filing Date if Appropriate</u>
_____	AA 5,941,988	Aug. 1999	Bhagwat et al.	713	201	
_____	AB 6,212,563	Apr. 2001	Beser	709	227	
_____	AC 5,828,840	Oct. 1998	Cowan et al.	395	200	
_____	AD 5,675,732	Oct. 1997	Majeti et al.	395	200.01	
_____	AE 6,249,523	Jun. 2001	Hrastar et al.	370	401	
_____	AF					
_____	AG					
_____	AH					
_____	AI					
_____	AJ					
_____	AK					
_____	AL					

FOREIGN PATENT DOCUMENTS

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	<u>Translation Yes No</u>
_____	AM					
_____	AN					
_____	AO					
_____	AP					

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<u>Examiner Initial</u>	
_____	AQ Bhagwat et al., "TCP Slicing for Application Layer Proxy Performance"
_____	AR
_____	AS
_____	AT
_____	AU

Examiner: _____

Date Considered: _____

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.